

Self-Empowered Life Funding (SELF) Waiver

Regional Training – Day 1

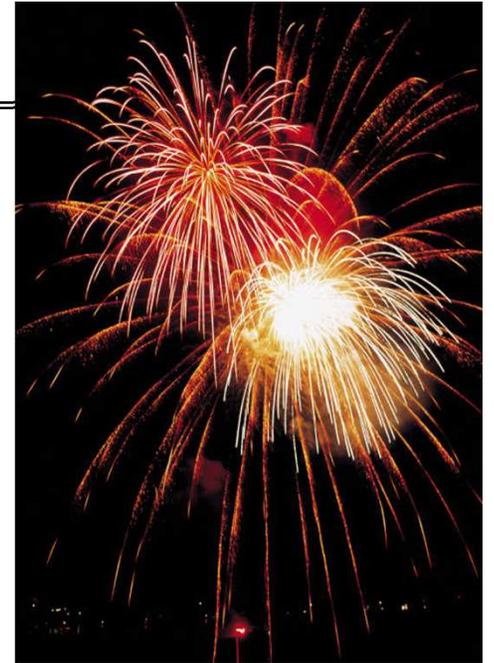
August 21, 2012

SELF Waiver Status

Ohio | Department of
Developmental Disabilities

Current Status:

**THE SELF Waiver went
LIVE on **July 1, 2012!!****



SELF Waiver Status

Training Plan

DODD will be conducting a series of two-day SELF Waiver Regional Trainings & webinars from May – August.

- SELF Waiver Agenda Topics include:
 - Paradigm Shift associated with Participant Direction
 - Children with Intensive Behavioral Needs
 - Employment First
 - Service Definitions/Limitations/Rates/Codes
- DODD will also be conducting training (webinar & on-site) for providers
- Training for individuals and family members provided upon request; please contact Peggy Martin at DODD (614-466-8706 or email: peggy.martin@dodd.ohio.gov).

SELF Waiver

INTRODUCTION TO THE SELF WAIVER

SELF Waiver Introduction

Introduction:

- Organization of the two-day training
- Learning Curve
- Technical Assistance
- Patience

SELF Waiver Introduction

The “Self-Empowered Life Funding” Waiver... will be Ohio’s first participant-directed waiver for individuals with developmental disabilities.

- *History of the SELF Waiver*
- *Ohio does also have the Choices Waiver, administered by the Department of Aging*
- *2/3 of states are operating a participant directed service model either through a waiver or a optional state plan service*
- *CMS perspective*

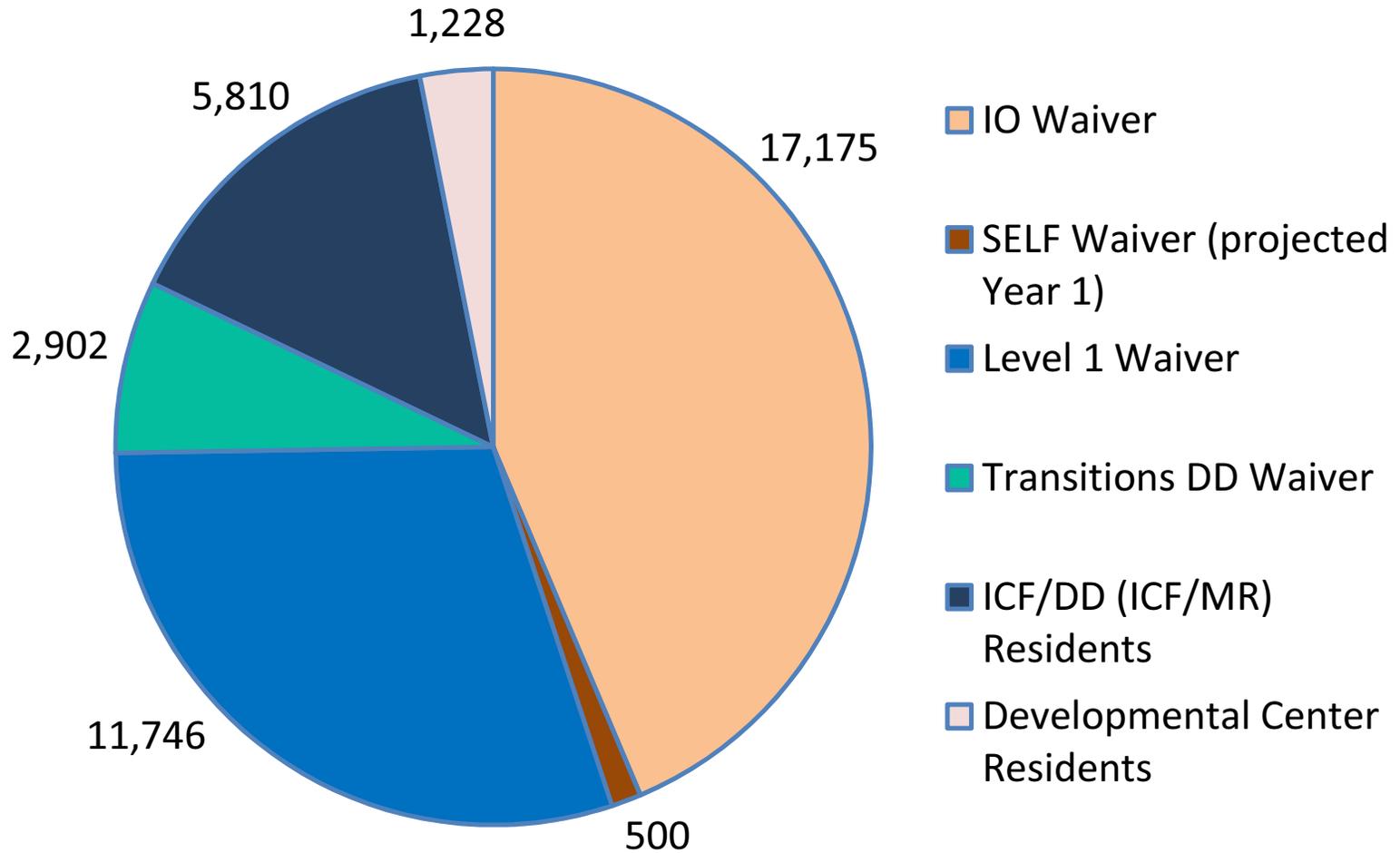
SELF Waiver Introduction

Long-term vision for this waiver:

- *Enhance participant-direction in Ohio*
- *Serve as the long-needed “middle level” waiver in Ohio’s system*
- *Help better address some crucial service needs*
- *Improve flexibility and creativity for individuals and their families*

...We anticipate a slow up-tick to the waiver, because it is different and new.

Individuals Served through DD Medicaid*



* Not including ABD State plan only recipients

SELF Waiver Service Package and Financial Limitations

Children*

\$25,000/year Individual Cost Cap

Annual Service Limitation of \$8,000: Support Brokerage

Annual Service Limitation of \$1,500: Functional Behavioral Assessment

Annual Service Limitation of \$5,000: Remote Monitoring Equipment

Remaining Services with no annual service limitations
(other than the \$25,000 Cost Cap)

- Community Inclusion
- Participant-Directed Goods and Services
- Participant/Family Stability Assistance
- Clinical/Therapeutic Intervention
- Residential Respite
- Community Respite
- Remote Monitoring

*Children are defined as individuals who are under twenty-two years old and are not eligible for adult day support, integrated employment, supported employment-enclave, or vocational habilitation.

SELF Waiver Service Package and Financial Limitations

Adults

\$40,000/year Individual Cost Cap

Annual Service Limitation of \$8,000: Support Brokerage

Annual Service Limitation of \$1,500: Functional Behavioral Assessment

Annual Service Limitation of \$5,000: Remote Monitoring Equipment

Any combination of the below services may not exceed \$25,000 annually

- Community Inclusion
- Residential Respite
- Community Respite
- Remote Monitoring

Remaining Services with no annual service limitations (other than the \$40,000 Cost Cap and the cost limitations for the Adult Day Waiver Services due to the AAI + CODB categories)

- Participant-Directed Goods and Services
- Integrated Employment
- Clinical/Therapeutic Intervention
- Adult Day Support
- Vocational Habilitation
- Supported Employment-Enclave
- Non-Medical Transportation
- Participant/Family Stability Assistance

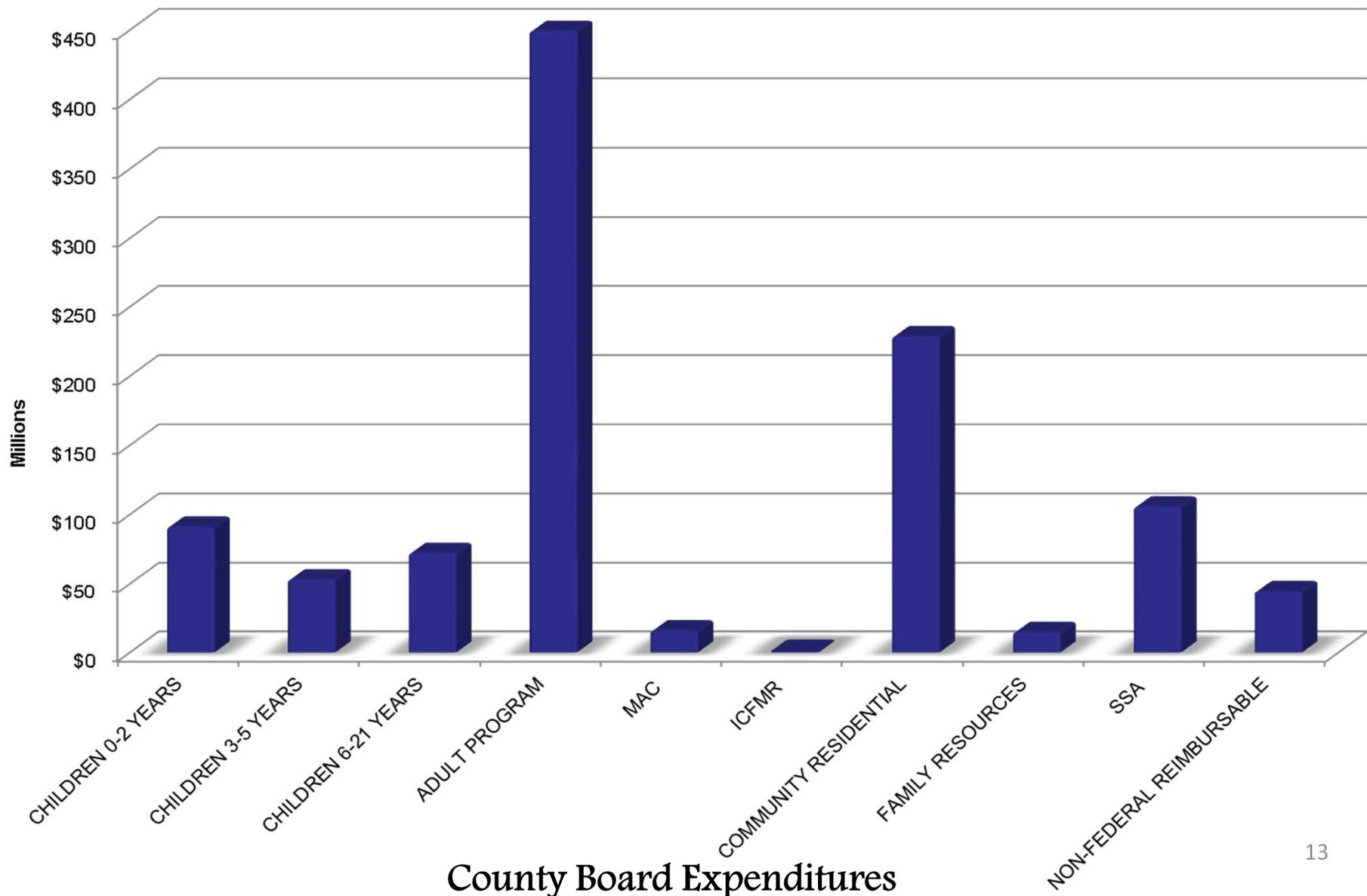
UNIQUE ASPECTS OF THE SELF WAIVER

SELF Waiver Introduction

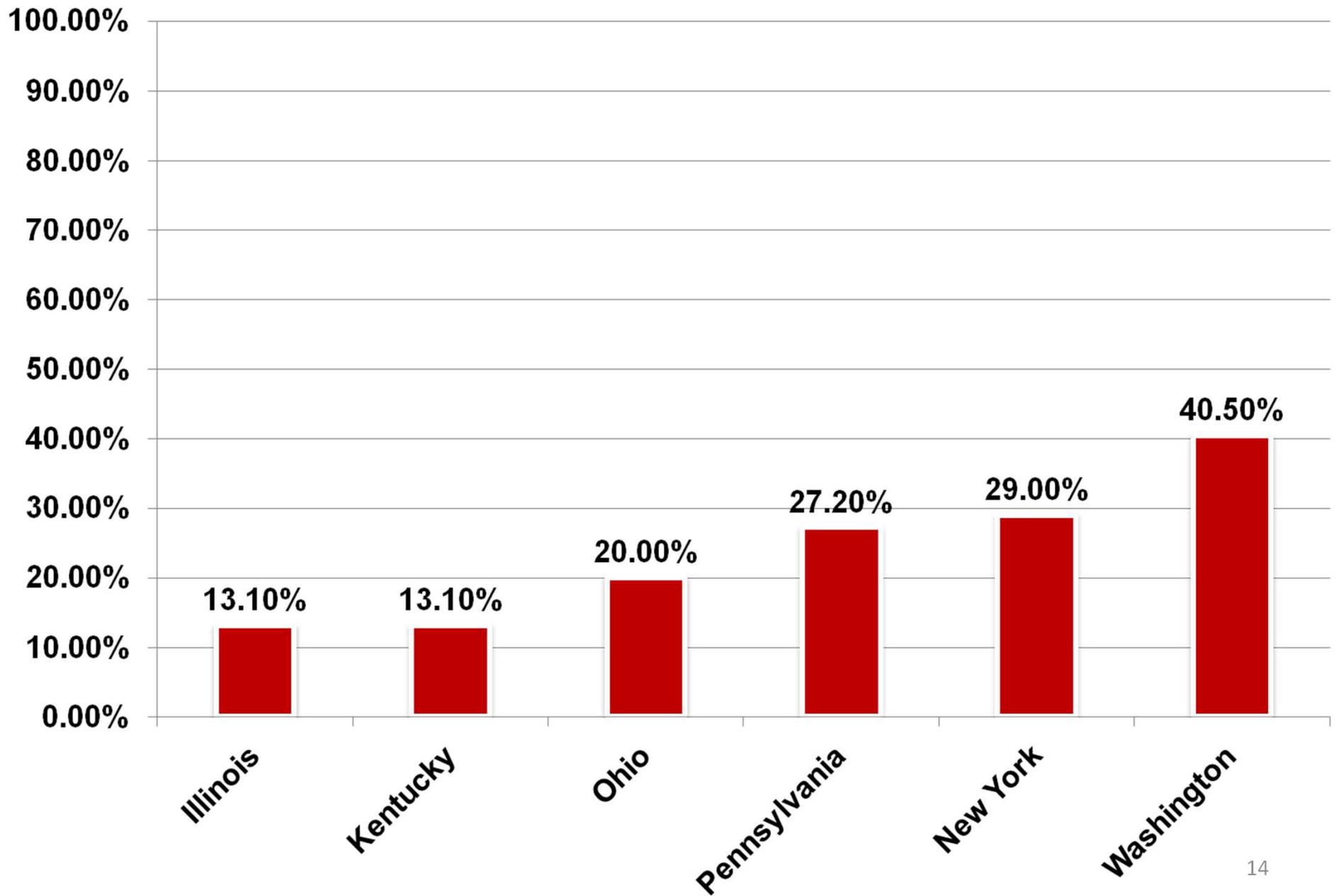
3 Unique Aspects to the Waiver:

- Large emphasis on EMPLOYMENT: The new Integrated Employment service is much more flexible than existing services. This service within the SELF Waiver is seen as a way to help promote the Governor Executive Order signing on Employment First.
 - Higher provider payment rates
 - Job development incentives
 - Employment First policy
 - Creative employment opportunities
 - Cap Implications
- Of the money spent in Adult Services: 7% - Supported / Integrated Employment... 93% - Sheltered Work/Enclaves

Lots of Money Goes to Day Services



Individual Has Job In Community
(27% is the average of NCI States)



SELF Waiver Introduction

3 Unique Aspects to the Waiver:

- CLINICAL/THERAPEUTIC INTERVENTION was specifically designed for children with intensive behavioral needs. It was one of the original services back when the waiver was targeted for children with autism/intensive behavioral needs. It has a tiered rate approach, to better leverage PD level plan development and oversight.

Ohio currently has limited connectivity to large provider networks to serve our most challenging individuals and children. We hope to be able to expand communication so to better leverage those national experts.

A few examples:

- Nisonger Center
- Cleveland Clinic
- Nationwide Children's Hospital Center for Autism Spectrum Disorders
- Cincinnati Center for Autism

SELF Waiver Introduction

3 Unique Aspects to the Waiver:

- **PARTICIPANT-DIRECTED GOODS & SERVICES:** will allow individuals to use Medicaid dollars to purchase needed items through companies available to the general public.
 - Approximately 2/3 of states now offer participant-direction of some kind; goods and services is a crucial component of many of them
 - Similarity to Family Resource Program (FRP)
 - There is no other vehicle within SELF like Environmental Accessibility Adaptations, or Specialized Medical Equipment and Supplies like the IO or Level 1
 - Ohio has developed governing Criteria which will be discussed later in the session

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PARADIGM SHIFT

SELF Waiver

What is a Paradigm Shift?

- A radical change in underlying beliefs or theory. (www.dictionary.com)
- A dramatic change in methodology or practice. It often refers to a major change in thinking and planning. (www.answers.com)
- When the usual and accepted way of doing or thinking about something is changed. (www.dictionary.cambridge.org)

SELF Waiver

The Paradigm Shift as it relates to the SELF Waiver:

PREVIOUS WAIVERS	SELF WAIVER
System/Team directed	Participant (or SELF) directed
Limited services	Many service options
Set rates for all services	Negotiation of some rates
Service centered	Person centered
No employer provisions	Employer authority

SELF Waiver

“Consistency is the last refuge of the unimaginative.” Oscar Wilde

“The only way to make sense out of change is to plunge into it, move with it, and join the dance.”
Alan Watts

SELF Waiver

Compliance Reviews of SELF Waiver Services

- Primary focus will be on the outcome of services and participant-direction
- Support Broker Responsibilities
- Basic compliance with SELF requirements
 - ISP Compliance
 - Documentation
 - Minimum provider qualifications and training

SELF Waiver

The Compliance Review process will include:

- Reviewers to determine compliance with SELF rule requirements
- Self-Advocate to talk with individuals/guardians receiving SELF waiver services
 - Service outcomes
 - Assistance provided by the Support Broker
 - Day-to-day coordination of services
 - Assistance/support with budget and/or employer authority
 - Provider selection

SELF Waiver

During Accreditation Reviews, OPSR will expect:

- SSAs to understand what is truly important to the individual
- Service plans that look different from what is traditionally written
 - Plans should reflect what matters to the individual
 - It should be clear that the individual is directing his/her own services

SELF Waiver

Q & A

SELF Waiver

ELIGIBILITY CRITERIA

SELF Waiver Eligibility

Prior to offering a SELF Waiver allocation to an individual they must first be identified in the Wait List application in the Individual Data System (IDS)

- Wait List will be managed at the County Board level
- Individual's wait list date is the earliest date of request for any DODD HCBS Waiver
- IDS has a sub list for each of the three waivers, including SELF Waiver

SELF Waiver Eligibility

Waiver Transfers:

Language in the revised wait list rule OAC 5123:2-1-08 as it pertains to SELF states:

- If an individual who is enrolled on the Individual Options or the Level One waiver requests enrollment on the SELF waiver, the department may transfer the individual to the SELF waiver, provided that:
 - The individual's needs can be more appropriately met by the SELF waiver
 - The individual meets all eligibility criteria for the Self-Empowered Life Funding waiver
 - The county board requests to enroll the individual on the SELF waiver

At anytime within 180 days of initial enrollment on the SELF waiver, at the individual's request, may return to the DODD waiver previously enrolled on.

SELF Waiver Eligibility

Eligibility for the SELF Waiver:

The application process for the SELF Waiver will be the same as it is today for the IO and Level One

- If interested in applying for the SELF waiver, individuals will need to make the request through their local county boards of DD
- County Board will complete the Wait List, PICT, and enrollment application procedures as it does today for the IO and Level One Waiver

SELF Waiver Eligibility

Eligibility for the SELF Waiver:

The SELF Waiver is for people with developmental disabilities who:

- Are Medicaid eligible
- Have an Intermediate Care Facility (ICF)/MR Level of Care
- The individual's health and welfare can be met through the utilization of Self-Empowered Life Funding and other formal/informal supports
- Individual must require, at minimum, one SELF waiver service

SELF Waiver Eligibility

Eligibility for the SELF Waiver (continued):

- Individual or their guardian/representative must be willing and able to perform the duties associated with ***Participant Direction**** through exercising
budget authority or employer authority
- Participant Direction is required for at least one waiver service
(Determined as part of the Pre-Screen Tool)

**Individuals with developmental disabilities can direct where and how they receive the services that are covered under this waiver*

SELF Waiver Eligibility

Eligibility for the SELF Waiver:

An applicant shall enroll on the SELF waiver with a classification as either a “Child” or “Adult”

- **Child Classification**

Under the age of 22 and being served by their local school district

- **Adult Classification**

Formal exit from local school district

- The department shall reserve capacity under the SELF waiver for children with intensive behavioral needs who qualify based on an assessment approved by the department

- This capacity of 100 SELF waiver allocations for children with intensive behavioral needs is state funded

SELF Waiver

County options for CIBN state-funded waivers

1. State Allocation Option

The County Board has elected for DODD to add to the county's annual waiver allocation the non-federal share of the \$25,000 (\$9,000) funding limit for one child enrolled on the SELF Waiver. When the individual is classified as an adult, the state-funded amount will remain the same. This additional state allocation amount will remain in place regardless of the individual's continued waiver status or costs for his or her waiver services.

2. Individual Match Option

- The County Board has elected for DODD to pay the non-federal share for the *actual costs* of the individual, including Adult Day Waiver Services (ADWS) once eligible. The funds for these services will be set aside as a state obligation and will not be calculated in your county board allocation for waivers.
- Should the individual disenroll from the SELF Waiver, DODD will no longer provide matching funds for the individual's service costs.
- This option is only applicable if the CIBN enrollee is under the age of 18.

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County's selection for CIBN state-funded waivers

We are asking counties to:

- Identify the individual to be enrolled,
- The individual's anticipated FY13 enrollment quarter,
- And send this notification to Charlie Flowers no later than December 31, 2012. (Charles.flowers@dodd.ohio.gov)
- Counties will then enroll these individuals timely through the PICT and enrollment process.

SELF Waiver

Q & A

SELF Waiver

CHILDREN WITH INTENSIVE BEHAVIORAL NEEDS

Metamorphosis of the waiver concept

It all began in.... **2004 Autism Waiver** concept formulated

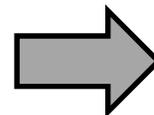
A changing landscape of services, supports, and new ideas developed the concept further...**2007 New Futures Waiver**

- 100 slots for children with intensive behavioral needs (state funded)
- Included Participant direction & Individual budgeting from the early days of creating a self-directed waiver

A tight economy, paired with more creative thinking enhanced the concept even more... **2009 Flexible Supports Waiver**

- 100 slots for children with intensive behavioral needs (state funded)
- Additional slots for both children and adults – *with or without intensive behavioral needs* (local match)
- Participant direction
- Individual budgeting
- And multiple service options

2012 - Now ready for launch!!



***Self Empowered Life
Funding Waiver (SELF)***

State Funded Waivers for 100 Children

What is meant by a child with
intensive behavioral needs?

**Behaviors that occur at a frequency or
intensity that can affect the child's:**

- Health and safety
- Home living and family life
- Participation in the neighborhood or
community
- School activities and participation
- Social activities

State Funded Waivers for 100 Children

Who will get them?

- Each county board gets one waiver funded by state dollars
- The remaining 12 waivers will be allocated based on county tax equity

How will the 100 children be selected?

- Must complete DODD's Intensive Behavior Checklist and meet criteria

Can counties choose to enroll additional children with intensive behavioral needs?

- Yes, with local match
- DODD Intensive Behavior Checklist is not required for county board funded waivers



Functional Behavioral Assessment (FBA)

Functional Behavioral Assessment:

The process of determining why an individual engages in challenging behavior and how the individual's behavior relates to the environment. These assessments describe the relationship between a skill or performance problem and variables that contribute to its occurrence. Functional behavioral assessments can provide information to develop a hypothesis as to:

- why the individual engages in the behavior;
 - when the individual is most likely to demonstrate the behavior;
 - situations in which the behavior is least likely to occur.
- * Limited to 1 assessment/year; capped at \$1,500/year

Functional Behavioral Assessment (FBA)

What is an FBA based on?

An appropriate FBA is based on multiple sources of information such as structured interviews, behavior rating scales, standardized assessment, observation of the child, a review of records.

What does an FBA tell us?

It helps understand the purpose or function of a behavior. This knowledge can lead to development of more effective intervention. Recognizing the underlying characteristics of a behavior is also important when designing interventions that have positive and lasting outcomes.

Functional Behavioral Assessment (FBA)

Who conducts an FBA?

Qualifications for an FBA provider to be reimbursed under the SELF waiver are included in OAC 5123:2-9-43.

Qualified individuals include Ohio licensed:

- Psychologists
- Professional clinical counselors
- Professional counselors
- Independent social workers
- Social workers working under the supervision of a licensed independent social worker

**** An FBA shall not be provided by individual's family member**

Clinical/Therapeutic Intervention (CTI)

Services that assist unpaid caregivers and/or paid support staff in carrying out individual treatment/support plans, and that are not covered by the Medicaid State Plan, and are necessary to improve the individual's independence and inclusion in their community.

Service includes:

- Consultation activities that are provided by professionals in psychology, counseling and behavior management.
- Development of a home treatment/support plan, training and technical assistance to carry out the plan, delivery of the services
- Monitoring of the individual and the provider in the implementation of the plan.

Service Limitation: Must be deemed necessary to reduce an individual's intensive behaviors based on the results of a Functional Behavioral Assessment.

Clinical/ Therapeutic Intervention

The nuts and bolts of this service

- ✓ Must be deemed necessary to reduce an individual's intensive behaviors based on results of an FBA (an individual may come to the waiver with a pre-existing FBA; if not, the option is available to purchase one through SELF)
- ✓ Service can be provided by:
 1. A Senior Level Specialized Clinical/Therapeutic Interventionist
 2. Specialized Clinical/Therapeutic Interventionist
 3. Clinical/Therapeutic Interventionist (*working under the supervision of #1 and/or #2 above*)



Clinical/ Therapeutic Intervention

Qualifications to be a provider of CTI are included in OAC 5123:2-9-41.

Qualifications include:

1. *A Senior Level Specialized Clinical/Therapeutic Interventionist*

- Doctoral degree in psychology, special ed., medicine, or related discipline
- Ohio licensed
- At least 3 months of experience and/or training in implementation and oversight

2. *Specialized Clinical/Therapeutic Interventionist*

- Master degree in psychology, special ed., or related discipline
- Ohio licensed OR registered with the state board of psychology as an aide or a psychology aide working under psychological work supervision
- At least 3 months of experience and/or training in implementation and oversight

3. *Clinical/Therapeutic Interventionist*

- Work under supervision of a Senior or Specialized CT Interventionist
- Have experience providing one-to-one care OR have 2 monitored sessions

*** CTI shall not be provided to an individual by his/her family member

Clinical/ Therapeutic Intervention

Who is providing Clinical/Therapeutic Intervention Services across the state?

Several providers have been identified statewide currently offering these services:

- Ohio Children's Hospitals
- Private agencies and individual providers
- University-affiliated programs



DODD is contacting practitioners to inform and build a pool of potential providers

Some families will come to the waiver with providers they are currently using – encourage them to become DODD-certified waiver providers for SELF!

Clinical/ Therapeutic Intervention

Who might use this service?

The individual that has behaviors that interfere with:

- Learning
- Socializing or making and keeping friends
- Engaging or communicating with others
- Participating in activities with family/friends
- Experiencing success at school
- Being successfully employed
- The safety of the individual or others

SELF Waiver

Q & A

SELF Waiver

EMPLOYMENT FIRST

SELF Waiver Overview

- **Employment First** – In support of Governor Kasich’s Executive Order 2012-05K, the SELF waiver will institute an ***Employment First*** policy, which means:
 - Individuals with developmental disabilities should be encouraged to take part in the workforce and to bring their individual strengths and talents to participate in Ohio business and industry.
 - Therefore, an explanation must be provided when an individual chooses an Adult Day Service that is not an Employment service.
 - *The Adult Day Services that are not Employment Services are Adult Day Support and Vocational Habilitation.*

SELF Waiver

Employment First, cont'd:

- Employment First Task Force
- Supported Employment Leadership Network (SELN)
- Changes to State Law requiring school districts to first explore Integrated Employment during the transition planning, which is now mandated to start at age 14.
- SELF Waiver requirement to first explain how integrated/supported employment is not appropriate before traditional day services are chosen (Employment First Form).

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**The SELF Waiver should be viewed
as a prime vehicle to realize
integrated employment for the
people we support.**

SELF Waiver

Integrated Employment (*waiver service*):

Supports needed by a participant to acquire and maintain a job in the general workforce at or above the state's minimum wage. The service can also provide supports needed by an individual to *acquire and maintain an internship or apprenticeship* of limited duration.

- Broken into 2 components:
 - **Initial supports** to gain employment &
 - **Retention supports** to keep it.
- Proposed rates for both components have been increased per comments received via clearance feedback.
- May include supports for an individual to achieve self-employment through the operation of a business, but will not provide funding for start-up or business operation expenses.
- Not subject to the Acuity Assessment Instrument.

SELF Waiver

Q & A

SELF Waiver

OVERVIEW OF SUPPORT BROKERS

SELF Waiver Support Broker

What is the difference between a SSA and Support Broker?

SSA = Agent of the State

Support Broker = Agent of the Individual

Is a Support Broker mandatory for enrollment on the SELF Waiver?

No. It is not required for an individual to have a Support Broker.

However, the individual /representative **must** be able to perform the duties associated with ***Participant Direction*** through exercising budget authority or employer authority for at least one SELF waiver service.

SELF Waiver Support Broker

Can an individual enrolled on the SELF waiver be their own Support Broker?

Yes. They can be their own Support Broker on an unpaid basis.

**The Individual will be required to attend the day long Support Broker Certification Training if listed as Support Broker*

Who is responsible for completion of the Level of Care and the Individual Service Plan (ISP)?

SSA continues to be responsible for the ISP components

- Completing the Level of Care and Functional Assessments
- Writing the ISP
- Monitoring services and supports
- Following rules and statues as it pertains to SSA functions

SELF Waiver Support Broker

Support Broker Training Plan

- DODD has contracted with a vendor, Public Partnerships Limited (PPL) with national experience to provide the Support Brokerage Trainings for the SELF waiver.
- There will be two types of trainings:
 - ✓ One for stakeholders (SSAs, individuals and family members)
 - ✓ The other for those who want to become certified to provide Support Brokerage
- The Stakeholder training will be 2 ½ hours in length.
- The Support Brokerage Certification training will be an all-day training.

SELF Waiver Support Broker

Support Broker Training Plan – cont'd

- Both sets of Support Brokerage trainings will be conducted regionally across Ohio.
 - Stakeholder trainings will be first; Support Brokerage trainings to follow a few days after the stakeholder training for that region.
 - Support Brokerage Certification Trainings are limited to no more than 40.
- All persons who want to provide Support Brokerage are required to attend the day-long Support Brokerage Training and must successfully complete a competency test at the end of the training.
- Upon successful completion, attendees will receive documentation as required to become certified as a Support Broker in PCW.

SELF Waiver Support Broker

Support Broker is responsible for providing the individual with:

- Representation
- Advocacy
- Advice and Assistance related to the day-to-day coordination of services (*particularly those associated with participant direction*) in accordance with the ISP.
- Assists the individual with the individual's responsibilities around participant direction, including understanding Employer Authority and Budget Authority
- Keep the focus of the services and support delivery on the individual and his/her desired outcomes.

SELF Waiver Support Broker

The Support Broker responsibilities (continued):

- Negotiating rates
- Help in selecting providers
- Communication and coordination with individual, SSA, Financial Management Services(FMS)

SELF Waiver Support Broker

At the time of initial enrollment an Interim Plan will be created to:

- Assure health and welfare of participants disenrolling from other DODD administered waivers
and/or
- Allow the participant to have access to an Support Broker

The interim plan will indicate that the SSA, Support Broker, and individual will have no more than 30 days from date of enrollment to develop a full Individual Service Plan.

The details contained in the interim plan will be transferred to the ISP prior to the expiration of the interim plan.

SELF Waiver Support Broker

SSA and the participant create an Interim Plan which only identifies:

- The provider of Support Brokerage
- The budget associated with the service of Support Brokerage
- Authorizes the Support Broker to begin working with the participant and the SSA in the creation of the ISP and individual budget for the other services

Service and Support Administrator (Single Point of Accountability)	Support Broker* (Agent of the Individual)	Individual/Family/Guardian
Level of Care/eligibility determination role is the same as in current DODD-operated waivers. SSA will also conduct assessments as specified for an individual to be on the SELF waiver.	Assist individual with developing the ISP and selecting providers.	Develop ISP and select providers.
If individual desires, link individual with a Support Broker of the individual's choosing.	Assist individual with negotiating rates and payments.	Negotiate rates and payments.
Lead development of ISP; Review ISP and recommend for approval.	Assist individual with helping coordinate day-to-day access of services on ISP.	Coordinate day-to-day access of services on ISP.
Monitor ISP to ensure health and safety based on Department protocols. SSA needs to be involved with any Health and Safety issues until resolved.	Act as communication broker to ISP team if individual desires.	Communicate with the ISP team.
Provide oversight for compliance per protocol specified by Department.	Assist individual with hiring/supervising staff, interviewing, etc.	Interview, hire and supervise staff, if desired.
Annually evaluate plan outcomes.	Assist individual with staff orientation/training.	Ensure/provide staff orientation and training.
Make sure Functional Behavioral Assessment supports the need for Clinical/Therapeutic Intervention.	Assist individual with interfacing with the financial management services entity to assist in managing the individual budget.	Interface with financial management services entity to assist in managing the individual budget.
SSA will assist Support Broker and individual with linking the individual to services.	Assist individual with record keeping.	Keep records.
	*To be a support broker requires completion of the Support Broker Training. The Support Broker is an "agent of the individual" as chosen by the individual.	

SELF Waiver Support Broker

Support Brokerage (SB) is a waiver service (subject to Free Choice of Provider)

- Service Limit for SB: \$8,000/year
- Can be provided on an unpaid basis (e.g., provided by a family member or volunteer)
- The following **cannot** be a Support Broker :
 - County Boards;
 - CB employees;
 - SELF waiver providers (and their employees);
 - Contractors/related entities of SELF waiver providers

SELF Waiver Support Broker

Support Brokerage (cont'd)

Whether paid or unpaid, before becoming a Support Broker, must attend the training established by DODD

– ***For this service, all Support Broker agency employees who are Support Brokers must meet the qualifications and must be trained.***

- Legal guardians and family members who reside with the individual, cannot be paid to provide this service, but could provide it on an unpaid basis.
- Unpaid Support Brokers will still need to go through DODD's Provider Cert Wizard for purposes of notification re: eligibility of the individual, etc.

SELF Waiver

Q & A

SELF Waiver

BREAK FOR LUNCH

SELF Waiver

SERVICES: DEFINITIONS & LIMITATIONS

SELF Waiver

Services available:*

- *Support Brokerage*
- *Functional Behavioral Assessment*
- *Clinical/Therapeutic Intervention*
- *Integrated Employment*
- *Community Inclusion (Personal Assistance, Transportation)*
- *Participant/Family Stability Assistance*
- *Participant-Directed Goods and Services*
- Remote Monitoring (& Equipment)
- Respite: Community & Residential
- Adult Day Supports
- Vocational Habilitation
- Supported Employment – Enclave
- Non-Medical Transportation

**Services in bold italics are new to our DD system*

SELF Waiver

Service Package Details

(NOTE: The following slides are synopses of the services proposed under the SELF waiver. The definitions seen in these slides are not the complete waiver service definitions. For complete service definitions, please see the rules as posted on DODD's website.)

SELF Waiver - Services

Community Inclusion:

Supports that promote the individual's participation in his/her community. The service includes opportunities and experiences that focus on socialization and/or therapeutic recreational activities, as well as personal growth in his/her home and/or community.

- Community Inclusion can also include peer support activities and organization of self-advocacy events.
- It is not meant to cover employment-related services
- The following components define the scope of this service:
 - Personal Assistance: supports provided in the home and/or community with life activities
 - Transportation: services including, but not limited to, fees for accessible transportation; taxi, bus and light rail transit fares; and mileage reimbursement for up to the Federal reimbursable mileage rate.
 - Examples include: Assistance with participating in (as well as transportation to & from) local community activities (i.e. Volunteering at Habitat for Humanity)

SELF Waiver

Participant/Family Stability Assistance:

Service that enables the participant/family to understand how best to support the individual in their home and/or to enhance the individual's ability to direct their own services.

- The service can only be utilized by the individual or by family members who reside with the individual.
- Participant/Family Stability Assistance may include counseling and/or training services to the participant/family to accommodate the participant's disability in the home and to access supports offered in the community.

SELF Waiver

- Example #1 of counseling activities: Services to aid a parent that has a child with intensive behavioral needs whose faces the child being removed from the family home. This service could help that family adjust to the changes needed for the home to be a safe environment for the child.
- Example #1 of training activities: family to develop skills needed to communicate with the child so that the child can express themselves while ensuring the health and safety of the family home

SELF Waiver

- Example #2 of counseling services: A 40-year-old adult who currently lives with his/her family, but is preparing to move out into their own home and enter into participant-direction on the SELF Waiver and wants some assistance/assurance in making sure that these are the right choices.
- Example #2 of training services: The 40-year-old adult could also use this service to attend a training/seminar to learn more about the latest Best Practices in Participant Direction (Budget Authority/Employer Authority) for waivers.

SELF Waiver

Participant-Directed Goods and Services:

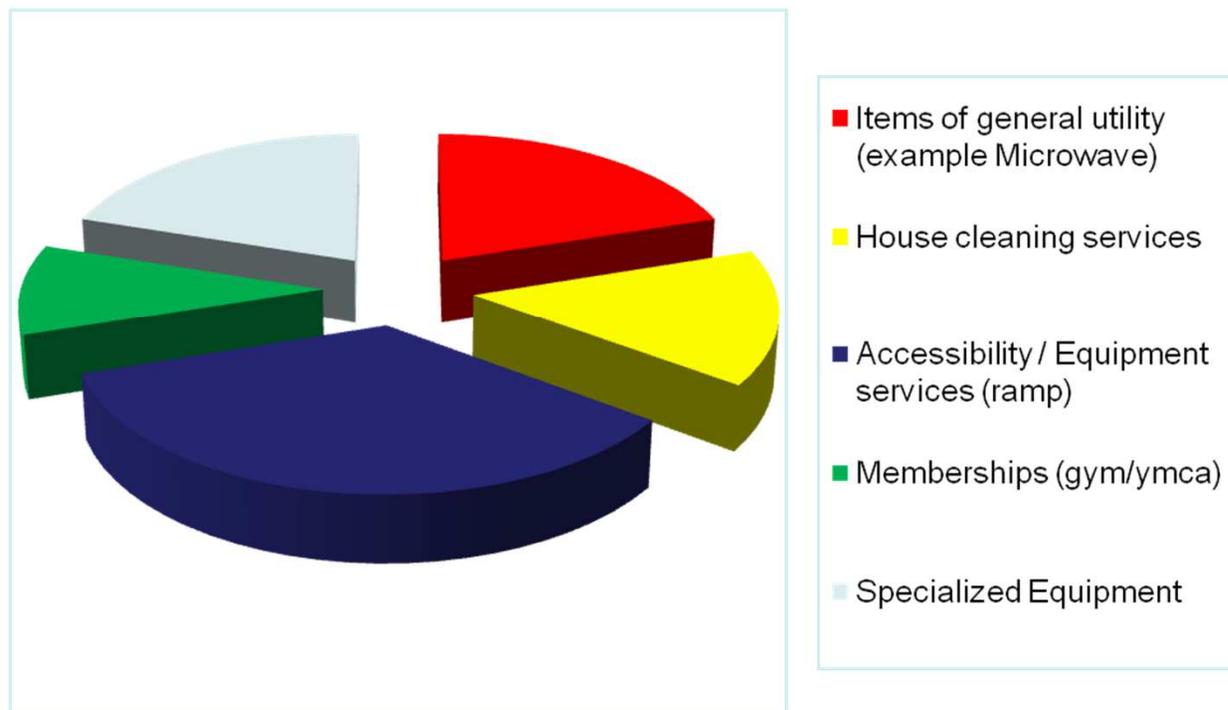
Services, equipment, or supplies not otherwise provided through this waiver or through the Medicaid State Plan that address an identified need on the ISP (including improving and maintaining the individual's opportunity for membership in the community)

- The item or service would:
 - decrease the need for other Medicaid services;
 - promote inclusion in the community;
 - increase the participant's safety in the home environment.
 - Examples include: YMCA Membership, purchase of microwave.
- *Providers of this service do not need to become Medicaid certified.*
- *DODD has created a list of Guidelines available at dodd.ohio.gov*

SELF Waiver Introduction

- PARTICIPANT DIRECTED GOODS & SERVICES:

National Examples by category



SELF Waiver

Remote Monitoring: The monitoring of an individual in his or her residence by staff using one or more of the following systems: live video feed, live audio feed, motion sensing system, radio frequency identification (RFID), web-based monitoring system, or other device approved by DODD.

- Must ensure health and safety.
- Individuals in the residence must give informed consent for the service to be used in the home whether directly receiving the service or not.

RM Equipment – Separate service; billed as a monthly amount; total not to exceed \$5,000 annually

SELF Waiver

Respite:

Generally, services provided to individuals unable to care for themselves, furnished on a short-term basis because of the absence or need for relief of those persons normally providing the care.

SELF Waiver

Residential Respite: Services provided to individuals unable to care for themselves that are furnished on a short-term basis because of the absence or need for relief of the those persons who normally provide care for the individuals

- Can be provided by ICFs, Licensed Facilities, and Agency Providers;
- Incorporated service changes that were part of 2011's IO Amendment;
- 90 day limitation.

SELF Waiver

Community Respite: Services provided to individuals unable to care for themselves that are furnished on a short-term basis because of the absence or need for relief of the those persons who normally provide care for the individuals.

- Same as what is approved under IO
- Can only be provided in a camp, recreation center, or other place where an organized community program or activity occurs.
- 60 day limitation

SELF Waiver

Adult Day Waiver Services (ADWS):

Existing services will be available under this waiver with the *exception* of Supported Employment - Community.

- “Employment First” requirement whereby justification must be given for an individual to use Adult Day Support or Vocational Habilitation
- An individual cannot be on this waiver and only receive ADWS (it is not the purpose of this waiver to be used to refinance ADWS costs)
- Acuity Assessment will still apply to Adult Day Supports, Vocational Habilitation and Supported Employment - Enclave
- Cost of ADWS and Non Medical Transportation (NMT) are included as part of the individual’s overall SELF Waiver Cost Cap

SELF Waiver

Q & A

SELF Waiver

PARTICIPANT- DIRECTION UNDER THE SELF WAIVER

Participant Direction

OVERVIEW of the Financial Management Services (FMS)

- What is the purpose of the Financial Management Services (FMS) entity/why is one needed?
 - CMS' definition of Participant-Direction (i.e., Budget Authority and Employer Authority) consists of more than determining a budget and choosing providers.
 - In Self-Directed Waivers, use of a Financial Management Service is a requirement of CMS due to the financial obligations that must be met around Employer Authority (e.g., taxes & insurances are paid in accordance with all State and Federal regulations).
 - FMS entities have experience providing this function, which then allows individuals to focus on directing their services.

SELF Waiver

Participant Direction

The FMS' purpose is to assist the individual with managing their budget & to take out the necessary taxes/withholding to ensure that the employment meets State and Federal requirements.

- FMS will be paid for as an administrative activity, not as a waiver service & will not be paid from the individual's budget.
- To start the waiver, DODD is partnering with ODJFS on a statewide contract with a single entity (JEVS Human Services) that has experience providing FMS services.
 - JEVS currently provides Financial Management Services for 2 of ODJFS' non-Medicaid programs (HOME Choice and Access Success).
- The FMS will require an ISP & budget approved by the CB to pay claims accordingly.

SELF Waiver

Participant Direction

Participant Direction

- Everyone on this waiver will be required to engage in participant direction.

Two components will be offered in the SELF waiver:

- Budget Authority
- Employer Authority

The individual may choose one or both of these.

SELF Waiver

Participant Direction

Budget Authority

- Allows the individual (or representative) to allocate their budget to waiver services however they choose, and to manage their budget accordingly
- An individual must choose Budget Authority for **at least one** of the applicable services they select.
- Nearly all services offered within the SELF waiver will have the option of budget authority.
- Adult Day Supports, Vocational Habilitation, Supported Employment - Enclave and Non Medical Transportation *do not have* the option of Budget Authority or Employer Authority applied to them.

SELF Waiver

Participant Direction

Employer Authority

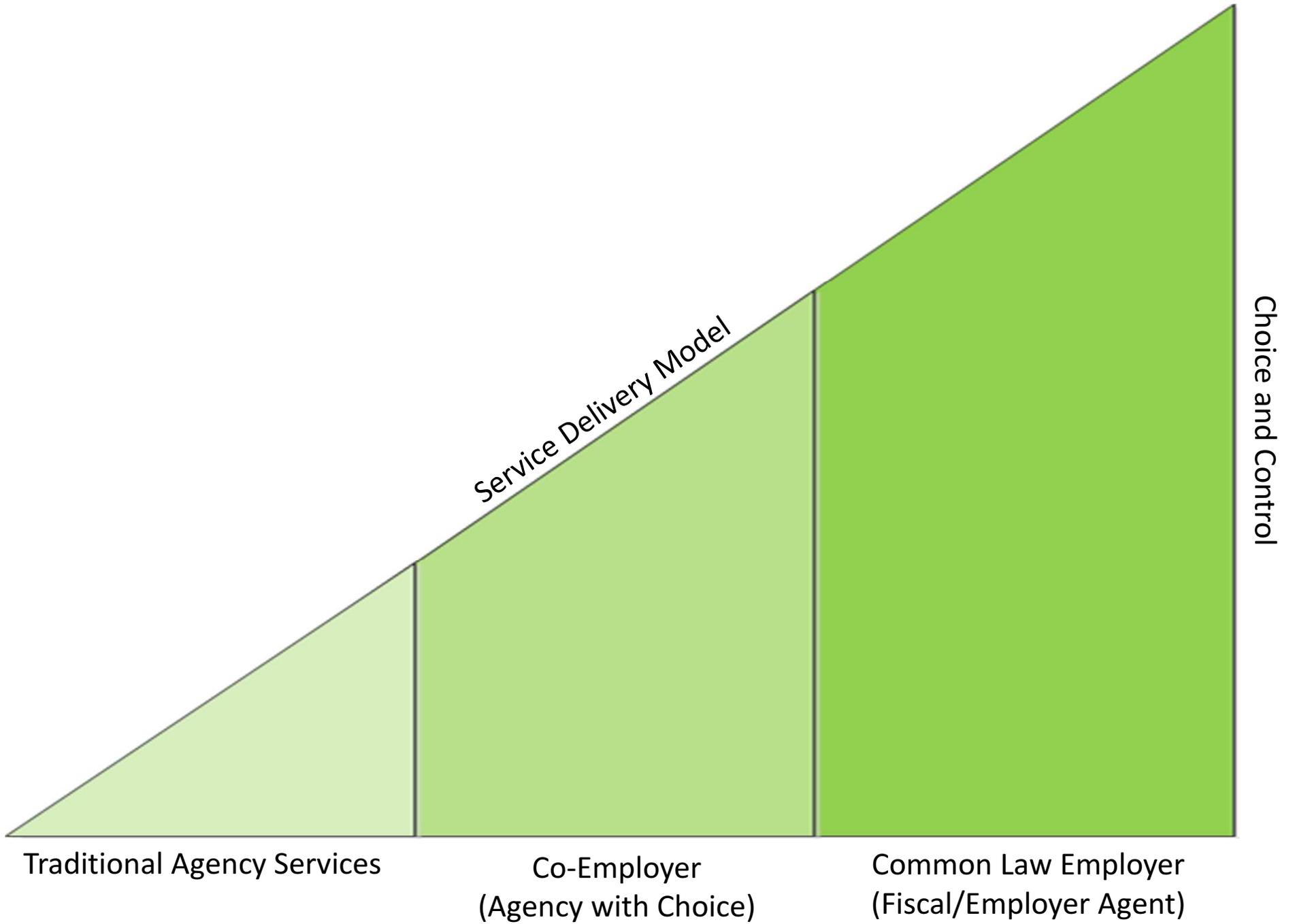
- Employer Authority option is not mandatory for an individual to select under this waiver UNLESS they are using an Independent Provider for the following services:
 - Support Brokerage
 - Community Inclusion
 - Participant/Family Stability Assistance
 - Integrated Employment
 - Participant-Directed Goods and Services

SELF Waiver

Participant Direction

Employer Authority - Allows the individual to hire, fire, direct, manage, etc., their workers. There are two Employer Authority options:

- **Common Law Employer**, where the individual is the Employer of Record (meaning the individual that holds the liability for wrongful termination lawsuits and ensuring taxes, unemployment, workers' comp, etc. are deducted).
- **Co-Employer**, where the individual enters into an arrangement whereby a 3rd party is the Employer of Record on the individual's behalf. ***[This is also known as the 'Agency with Choice' Model.]***



SELF Waiver

Participant Direction

Employer Authority

- Under the *Common Law* model (where the individual is the Employer of Record), the FMS will act as the fiscal agent for purposes of payroll, withholdings, etc., on behalf of the individual.
- Under *Co-Employer or Agency with Choice* model, Agency hires and directs the individual's support workers according to the individual's request.

SELF Waiver

Q & A

SELF Waiver

SCENARIOS

SELF Waiver

**SERVICES:
PROVIDER
QUALIFICATIONS,
RATES, CODES**

SELF Waiver

Rates:

- Services carried forward from Level 1 or IO would keep rates currently in existence
- For the new services, rates will differ if the service is provided through an Agency or an independent provider
- For certain services (Community Inclusion, Integrated Employment & Clinical/Therapeutic Intervention), rates for “independent providers” will be negotiable within a range.
 - Where applicable, rates will be negotiated by the individual with assistance from their Support Broker.

SELF Waiver

Negotiating Rates:

Individuals, with assistance from Support Brokers, can negotiate service rates for:

- Participant-Directed Goods and Services
- Participant/Family Stability Assistance
- Independent provider rates for Clinical/Therapeutic Intervention
- Independent provider rates for Integrated Employment
- Independent provider rates for Community Inclusion

SELF Waiver

Services from other waivers:

Those services that are identical to those from the IO and LV1 waivers will use the identical rates. They include:

- Residential Respite
 - Community Respite
 - Remote Monitoring
 - Remote Monitoring Equipment
 - Adult Day Support
 - Vocational Habilitation
 - Supported Employment-Enclave
 - Non-Medical Transportation
- Codes for these services are different than those for the same services from the other waivers. They will be available on our website.

SELF Waiver - Service Rates

Support Broker	Rates	Code
Independent Provider	\$23.90/hour	SSU
Agency Provider	\$38.70/hour	SSB

Service Limit for Support Broker: \$8,000/year. Can be provided on an unpaid basis, e.g. by family or volunteer.

All potential Support Brokers must attend DODD's established training.

SELF Waiver - Service Rates

Community Inclusion Personal Assistance	Rates	Code
Independent Provider (Common Law Employer)	<i>Negotiable Rate Range:</i> \$Minimum Wage - \$12.31/hour	SPN
Agency Provider	\$19.63/hour	SPA

Service Limit for Community Inclusion: subject to \$25,000/year when added to:

For Children

- Participant-Directed Goods & Services,
- Participant/Family Stability Assistance
- Clinical/Therapeutic Intervention,
- Residential Respite
- Community Respite
- Remote Monitoring

For Adults

- Residential Respite
- Community Respite
- Remote Monitoring

SELF Waiver - Service Rates

Community Inclusion—Personal Assistance (Common Law Employer)

Up to \$12.31 per hour

- Hourly wage *paid to employee* can range up to: \$10.58
- Employer-Related Expenses: \$1.73 (16.4%)
(does not come out of the \$10.58/hr)
- Average On-Site Billable Time: 8 hours
- Administrative Overhead: 0%

SELF Waiver - Service Rates

Community Inclusion Transportation	Rates	Code
Independent Provider	<i>Usual and Customary Charge</i>	STI
Agency Provider	<i>Usual and Customary Charge</i>	STA

SELF Waiver - Service Rates

Integrated Employment -Initial Support	Rates	Code
Independent Provider	<i>Negotiable Rate Range:</i> \$Minimum Wage - \$33.99/hour	SIE
Agency Provider	\$54.21/hour	SIA

**Service Limit for Integrated Employment: For adults and
subject to \$40,000/year when added to:**

- Participant-Directed Goods & Services,
- Participant/Family Stability Assistance,
- Clinical/Therapeutic Intervention,
- Supported Employment--Enclave
- Vocational Habilitation
- Non-Medical Transportation
- Adult Day Supports

SELF Waiver - Service Rates

Integrated Employment -Retention Supports	Rates	Code
Independent Provider	<i>Negotiable Rate Range:</i> \$Minimum Wage - \$27.48/hour	SIP
Agency Provider	\$43.83/hour	SIR

Service Limit for Integrated Emp.-Retention Supports: For adults and subject to \$40,000/year when added to:

- Participant-Directed Goods & Services
- Participant/Family Stability Assistance
- Clinical/Therapeutic Intervention,
- Supported Employment--Enclave
- Vocational Habilitation
- Non-Medical Transportation
- Adult Day Supports

SELF Waiver - Services

Clinical/Therapeutic Intervention

Independent Provider Rate Ranges:

- Tier 1 (Clinical/Therapeutic Interventionist): **\$ Minimum Wage - \$15.38/hour**
- Tier 2 (Specialized Clinical/Therapeutic Interventionist): **\$15.39 - \$42.31/hour**
- Tier 3 (Senior Specialized Clinical/Therapeutic Interventionist): **\$42.32 - \$72.69**

Agency Provider Rates:

- Tier 1 (Clinical/Therapeutic Interventionist): **\$23.97/hour**
- Tier 2 (Specialized Clinical/Therapeutic Interventionist): **\$57.43/hour**
- Tier 3 (Senior Specialized Clinical/Therapeutic Interventionist): **\$98.68/hour**

SELF Waiver - Service Rates

Clinical Therapeutic Intervention Service	Provider Billing Codes	
	Independent	Agency
<u>Tier 1:</u> Clinical/Therapeutic Interventionist	SCI	SCT
<u>Tier 2:</u> Specialized Clinical/Therapeutic Interventionist	SPI	SPT
<u>Tier 3:</u> Senior Level Specialized Clinical/Therapeutic Interventionist	SLC	SLA

SELF Waiver Overview

Sharing of Services

- Initially, DODD stated that due to the complexities around figuring out how to ‘blend rates’, individuals who utilize Community Inclusion would not be able to share staff with individuals utilizing HPC under IO or Level One.
- **However**, DODD has determined a way in which sharing services could work from an operational standpoint. We believe we will have a way to accommodate this when the waiver goes-live.

SELF Waiver Overview

Sharing of Services

- In MSS, individual on SELF waiver will be given a separate site from individuals on the other waiver(s).
- The portion of shared time from staff will be calculated and deducted from the hours delivered to those on other waivers.
- Shared hours will be applied as unscheduled HPC in MSS for those not on the SELF waiver.
- The claims for the SELF costs from these shared hours will go to FMS for payment.

SELF Waiver

Q & A

SELF Waiver

**OVERVIEW OF
FINANCIAL
MANAGEMENT
SERVICES**